

09-04-01

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Practitioner's Docket No. RPS920010105US1  
Customer No.: 25299

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

J1002 U.S. PTO  
09/943800  
08/31/01

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): Robert Thomas Cato

For (title): **METHOD AND SYSTEM OF CAPTURING AND READING CONSUMER-ORIENTED RESPONSES THROUGH CONSUMER-APPENDED BAR CODES**

1. Type of Application

This transmittal is for an original (nonprovisional) application.

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

[X] deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

37 C.F.R. Section 1.8(a)

37 C.F.R. Section 1.10\*

[ ] with sufficient postage as first class mail.

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Mailing Label No. EL 888549794 US  
(mandatory)

TRANSMISSION

[ ] transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_

Date: August 31, 2001

Signature

Amirah Scarborough

(type or print name of person certifying)

RPS920010105US1

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design) Application

16 Page(s) of Specification

13 Page(s) of Claims

3 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

2 Page(s) of declaration and power of attorney

1 Page(s) of abstract

**3. Additional Papers Enclosed**

Information Disclosure Statement (37 C.F.R. 1.98)

Form PTO-1449 (PTO/SB/08A and 08B)

**4. Assignment**

An assignment of the invention to **International Business Machines Corporation; Armonk, NY** is attached. A separate "COVER SHEET FOR ASSIGNMENT (DOCUMENT) ACCOMPANYING NEW PATENT APPLICATION" is also attached.

5. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED

Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$710.00
Total Claims	33	20	13	\$18.00	\$234.00
Independent Claims	11	3	8	\$80.00	\$640.00
Multiple Dependent Claims					\$0.00
Filing Fee Calculation					\$1,584.00

6. **Fee Payment Being Made at This Time**

Enclosed

Filing Fee \$1,584.00

Recording assignment (\$40; 37 C.F.R. Section 1.21(h)) (See \$40.00  
attached "COVER SHEET FOR ASSIGNMENT ACCOMPANYING  
NEW APPLICATION".)

**Total Fees Enclosed \$1,624.00**

7. **Method of Payment of Fees**

Charge Account No. 09-1990 in the amount of \$1,624.00.  
A duplicate of this transmittal is attached.


[illegible]

**37 C.F.R. Section 1.16(b), (c) or (d) (presentation of extra claims)**

## Date:

8/31/01

d) (presentation of extra claims)



A large, stylized handwritten signature in black ink, featuring a large loop on the left and a series of connected 'm'-like strokes on the right, ending in a long horizontal line.

Page 4 of 4